| SEC Form 4 |   |
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| FORM       | 4 |

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## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| Check this box if no longer subject to<br>Section 16. Form 4 or Form 5<br>obligations may continue. See |
|---------------------------------------------------------------------------------------------------------|
| Instruction 1(b).                                                                                       |

Check this box to indicate that a transaction was made pursuant to a

contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| OMB APPROVAL      |           |  |  |  |  |  |  |  |  |
|-------------------|-----------|--|--|--|--|--|--|--|--|
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Estimated average burden hours per response: 0.5

| 1. Name and Address of Reporting Person*<br>Graft Aaron P |         | g Person <sup>*</sup> | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br><u>Triumph Financial, Inc.</u> [ TFIN ] | (Check            | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable) |                        |  |  |  |  |
|-----------------------------------------------------------|---------|-----------------------|-----------------------------------------------------------------------------------------------|-------------------|----------------------------------------------------------------------------|------------------------|--|--|--|--|
|                                                           |         |                       |                                                                                               | 1                 | Director                                                                   | 10% Owner              |  |  |  |  |
| (Last) (First) (Middle)                                   |         | (Middle)              | 3. Date of Earliest Transaction (Month/Day/Year)                                              | ~                 | Officer (give title<br>below)                                              | Other (specify below)  |  |  |  |  |
| 12700 PARK CENTRAL DRIVE                                  |         | RIVE                  | 11/29/2024                                                                                    |                   | President & CEO                                                            |                        |  |  |  |  |
| SUITE 1700                                                |         |                       |                                                                                               |                   |                                                                            |                        |  |  |  |  |
| (Ctract)                                                  |         |                       | 4. If Amendment, Date of Original Filed (Month/Day/Year)                                      | 6. Indiv<br>Line) | vidual or Joint/Group Fi                                                   | ling (Check Applicable |  |  |  |  |
| (Street)<br>DALLAS                                        | TX      | 75251                 |                                                                                               | 1                 | Form filed by One Re                                                       | eporting Person        |  |  |  |  |
|                                                           |         | -                     |                                                                                               |                   | Form filed by More th<br>Person                                            | nan One Reporting      |  |  |  |  |
| (City)                                                    | (State) | (Zip)                 |                                                                                               |                   |                                                                            |                        |  |  |  |  |

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | Date Execution Date, T<br>(Month/Day/Year) if any C |  |      |   |        |               |                                | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |
|---------------------------------|-----------------------------------------------------|--|------|---|--------|---------------|--------------------------------|---------------------------------------------------------------|-------------------------------------------------------------------|-----------------------------------------------------|
|                                 |                                                     |  | Code | v | Amount | (A) or<br>(D) | Price                          | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                |                                                                   | (Instr. 4)                                          |
| Common Stock                    | 11/29/2024                                          |  | S    |   | 13,500 | D             | <b>\$106.36</b> <sup>(1)</sup> | 151,533(2)                                                    | D                                                                 |                                                     |
| Common Stock                    |                                                     |  |      |   |        |               |                                | 3,315                                                         | Ι                                                                 | By<br>Spouse <sup>(3)</sup>                         |

|                                                     | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities) |  |                 |                                                                            |   |     |     |                                                                |                    |                                                                                                     |                                        |                                                     |                                                                                                                            |                                                                          |                                                                    |
|-----------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------|--|-----------------|----------------------------------------------------------------------------|---|-----|-----|----------------------------------------------------------------|--------------------|-----------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------|--------------------------------------------------------------------|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | erivative Conversion Date Execution Date or Exercise (Month/Day/Year) if any                                                                   |  | Execution Date, | Transaction of<br>Code (Instr. De<br>8) Se<br>Ac<br>(A<br>Dis<br>of<br>(In |   | of  |     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr.<br>3 and 4) |                                        | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|                                                     |                                                                                                                                                |  |                 | Code                                                                       | v | (A) | (D) | Date<br>Exercisable                                            | Expiration<br>Date | Title                                                                                               | Amount<br>or<br>Number<br>of<br>Shares |                                                     |                                                                                                                            |                                                                          |                                                                    |

Explanation of Responses:

1. The reported price in Column 4 represents the weighted average price per share. Reporting person shall provide upon request by the Commission staff, the Issuer, or a Security Holder of the Issuer, full information regarding the number of shares purchased at each separate price.

2. Consists of (i) 136,060 shares beneficially owned by reporting person, and (ii) 15,473 shares of restricted stock or restricted stock units of the reporting person subject to future vesting requirements. 3. 3,315 shares indirectly owned through reporting person's spouse, by Goldman Sachs custodian FBO Kimberly Graft Roth IRA.

Remarks:

## /s/ Adam D. Nelson, Attorney-12/03/2024

in-fact

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.