FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Washington, D.C. 20549	
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OMB APPROVAL										
OMB Number:	3235-0287									
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0.5

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Form filed by One Reporting Person

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.

1. Name and Address of Reporting Person

12700 PARK CENTRAL DRIVE

(First)

TX

(Middle)

75251

Graft Aaron P

**SUITE 1700** 

(Last)

(Street)

DALLAS

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading Symbol Triumph Financial Inc [ TFIN ]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
riumph Financial, Inc. [TFIN]	1	Director	10% Owner				
Date of Earliest Transaction (Month/Day/Year)	7	Officer (give title below)	Other (specify below)				
11/14/2024		President & CEO					
If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv	idual or Joint/Group Fili	ng (Check Applicable				

Line)

1

Form filed by More than One Reporting (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 7. Nature 1. Title of Security (Instr. 3) 2A. Deemed 5. Amount of 6. Ownership Transaction of Indirect Beneficial Date (Month/Day/Year) Execution Date Securities Beneficially Form: Direct Code (Instr. 8) 5) (D) or Indirect if any (Month/Day/Year) Owned Following (I) (Instr. 4) Ownership (Instr. 4) Reported (A) or (D) Transaction(s) Price Code ν Amount (Instr. 3 and 4) 11/14/2024 Common Stock G  $2,108^{(1)}$ D **\$0** 165,598 D Common Stock 11/15/2024 G 565(1) D \$0 165,033(2) D By Common Stock 3,315 Ī Spouse(3)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		5. Number n of		mber 6. Date Exercisable and Expiration Date (Month/Day/Year) ities ired osed 3, 4		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

## **Explanation of Responses:**

- 1. Represents gifts by the reporting person to a 501(c)(3) charitable organizations
- 2. Consists of (i) 149,560 shares beneficially owned by reporting person, and (ii) 15,473 shares of restricted stock or restricted stock units of the reporting person subject to future vesting requirements.
- 3. 3,315 shares indirectly owned through reporting person's spouse, by Goldman Sachs custodian FBO Kimberly Graft Roth IRA.

## Remarks:

/s/ Adam D. Nelson, Attorney-11/18/2024 in-fact

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.