

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT  
PURSUANT TO SECTION 13 OR 15(D)  
OF THE SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported): October 29, 2020

TRIUMPH BANCORP, INC.

(Exact name of registrant as specified in its charter)

Texas  
(State or Other Jurisdiction  
of Incorporation)

001-36722  
(Commission  
File Number)

20-0477066  
(IRS Employer  
Identification No.)

12700 Park Central Drive, Suite 1700,  
Dallas, Texas  
(Address of Principal Executive Offices)

75251  
(Zip Code)

(214) 365-6900  
(Registrant's telephone number, including area code)

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instructions A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)  
 Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)  
 Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2b)  
 Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4c)

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§ 230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§ 240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Securities registered pursuant to Section 12(b) of the Act:

Title of each class	Trading Symbol(s)	Name of each exchange on which registered
Common stock, par value \$0.01 per share	TBK	NASDAQ Global Select Market
Depository Shares Each Representing a 1/40th Interest in a Share of 7.125% Series C Fixed-Rate Non-Cumulative Perpetual Preferred Stock	TBKCP	NASDAQ Global Select Market

**Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.**

On November 2, 2020, Triumph Bancorp, Inc. (the “Company”) announced that Douglas M. Kratz, who has been a member of the Company’s Board of Directors since 2013, retired from the Board of the Directors of the Company effective October 29, 2020. Kratz, age 69, retired to attend to ongoing health matters.

**Item 8.01. Other Events**

On November 2, 2020, the Company issued a press release announcing the retirement of Douglas M. Kratz from the Board of Directors of the Company, a copy of which is attached to this Current Report on Form 8-K as Exhibit 99.1 and is incorporated herein by reference.

**Item 9.01. Financial Statements and Exhibits**

(d) Exhibits.

<u>Exhibit</u>	<u>Description</u>
99.1	<a href="#">Press release, dated November 2, 2020</a>
104	Cover Page Interactive Data File (embedded within the Inline XBRL document)

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**SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, hereunto duly authorized.

TRIUMPH BANCORP, INC.

By: /s/ Adam D. Nelson

Name: Adam D. Nelson

Title: Executive Vice President & General Counsel

Date: November 2, 2020

## DOUGLAS M. KRATZ RETIRES FROM TRIUMPH BANCORP BOARD OF DIRECTORS

Dallas, Texas — November 2, 2020 — Triumph Bancorp, Inc. (Nasdaq: TBK) (“the Company”) announced today that Douglas M. Kratz, who has been a member of the Company’s Board of Directors since 2013, has retired from the Board of the Directors of the Company effective October 29, 2020. Kratz, age 69, retired in the days following the Company’s final regularly scheduled board meeting for the year to attend to ongoing health matters.

“Doug has been an invaluable source of guidance and expertise for our Board since our acquisition of National Bancshares in 2013,” said the Company’s Chairman of the Board, Carlos M. Sepulveda, Jr. Aaron P. Graft, the Company’s Vice Chairman, President and Chief Executive Officer, added, “We wish to thank Doug for his years of service. We will be forever grateful for his many contributions and wish him all the best in this next chapter of his life.”

“Carlos and Aaron, as well as all the other team members at Triumph, should be extremely proud of what the Company has developed into over the past ten years. The individuals at the Company and TBK Bank are some of the finest people that I have ever been associated with. I look forward to watching their continued growth in the years to come,” remarked Kratz.

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### ABOUT TRIUMPH BANCORP, INC.

Triumph Bancorp, Inc. (NASDAQ: TBK) is a financial holding company headquartered in Dallas, Texas, with a diversified line of community banking, national lending, and commercial finance products. Our bank subsidiary, TBK Bank, SSB, is a Texas-state savings bank offering commercial and consumer banking products focused on meeting client needs in Texas, Colorado, Kansas, New Mexico, Iowa and Illinois. We also serve a national client base with factoring, equipment lending and asset based lending through Triumph Commercial Finance, discount factoring through Advance Business Capital LLC, d/b/a Triumph Business Capital, insurance through Triumph Insurance Group, Inc., and carrier and vendor payment solutions through TriumphPay.



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Triumph Bancorp, Inc.

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