SEC Form 4
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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e. See	
	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
	or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Fowler R Bryce			2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Triumph Bancorp, Inc.</u> [ TBK ]	(Check	tionship of Reporting Perso all applicable) Director Officer (give title	n(s) to Issuer 10% Owner Other (specify
(Last) (First) 12700 PARK CENTRAL DRIVE SUITE 1700 (Street) DALLAS TX (City) (State)		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 04/26/2021	X	below) Chief Financial C	below)
		75251 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person		

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction Disposed Of (D) (Instr. 3, 4 and Code (Instr. 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V		Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)	
Common Stock	04/26/2021		М		840	A	\$25.8	31,876	D		
Common Stock	04/26/2021		М		567	A	\$38.75	32,443	D		
Common Stock	04/26/2021		М		436	A	\$31	32,879	D		
Common Stock	04/26/2021		S		1,843	D	\$91.23	31,036	D		
Common Stock	04/26/2021		S		22,750	D	\$ <mark>90</mark>	8,286(1)	D		

 
 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		on of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
						of (D) (Instr. 3, 4 and 5)				(			Reported Transaction(s) (Instr. 4)	(, ( <b>5</b>	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee Stock Options	\$25.8	04/26/2021		М			840	(2)	04/01/2027	Common Stock	840	\$0.00	0	D	
Employee Stock Options	\$38.75	04/26/2021		М			567	(2)	05/01/2028	Common Stock	567	\$0.00	2,270	D	
Employee Stock Options	\$31	04/26/2021		М			436	(2)	05/01/2029	Common Stock	436	\$0.00	2,617	D	

## Explanation of Responses:

1. Consists of (i) 4,709 shares of common stock beneficially owned by reporting person, and (ii) 3,577 shares of restricted stock of the reporting person subject to future time vesting requirements. 2. Exercise of the employee stock option is subject to vesting over four years from the date of grant, with one fourth of such options becoming exercisable on each of the first four anniversaries of the date of grant.

## **Remarks:**

Adam D. Nelson, Attorney-in-

fact

04/28/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).