SEC Form 4	
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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

l	OMB Number:	3235-0287									
l	Estimated average burden										
l	hours per response:	0.5									

	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

							()												
1. Name and Address of Reporting Person [*] Forman-Barenblit Melissa						2. Issuer Name and Ticker or Trading Symbol <u>Triumph Financial, Inc.</u> [TFIN]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last) (First) (Middle) 12700 PARK CENTRAL DRIVE						3. Date of Earliest Transaction (Month/Day/Year) 05/01/2023								X Officer (give title Other (specify below) below) EVP, Pres-TriumphPay-TBK Bank					
SUITE 1700						4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person					
(Street) DALLAS TX 75251														Х		iled by Mo	•	orting Pers n One Rep	
(City) (State) (Zip)				Ru	Rule 10b5-1(c) Transaction Indication														
							Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.												
		Tab	le I - No	on-Deriv	vative	Sec	uritie	s Ac	quired	, Dis	sposed o	of, or B	enefic	ially	/ Owned	ł			
1. Title of Security (Instr. 3)			2. Transaction Date (Month/Day/Year)		Exe if a	2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)					and Securities Beneficia Owned Fo		ally ollowing	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership	
									Code	v	Amount	(A) or (D) Price		e	Reported Transaction(s) (Instr. 3 and 4)				(Instr. 4)
Common Stock				05/01/2023					Α		1,951	¹⁾ A	4	6 <mark>0</mark>	26,	899	D		
Common	Common Stock 05/0				/2023	2023		F		3,891 ⁽²⁾ D		\$5	1.25	5 23,008 ⁽³⁾			D		
Common Stock															2'	95		Ι	Owned By Reporting Person's Spouse
		T	ſable II ∙								oosed of converti				Owned		2		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deen Executio if any (Month/D	n Date,	Date, Transacti Code (Ins		ion of 🛛		6. Date E Expiratio (Month/D	n Dat		7. Title a Amount Securiti Underly Derivati (Instr. 3	of ng ve Secur and 4)	ity	8. Price of Derivative Security (Instr. 5)	9. Numbe derivativ Securitie Beneficia Owned Following Reported Transact (Instr. 4)	ve Ownes Formally Director or land of the second se	10. Ownershij Form: Direct (D) or Indirect (I) (Instr. 4	Beneficial Ownership (Instr. 4)
				Code	v	(A)		Date Expiration Exercisable Date			Title	Amou or Numb of Share	ber						

4. Represents non-qualified stock options of Issuer granted to reporting person under Issuer's 2014 Omnibus Incentive Plan. 5. Exercise of the employee stock option is subject to vesting over four years from the date of grant, with one fourth of such options becoming exercisable on each of the first four anniversaries of the date of

\$51.25

\$69 44

Explanation of Responses:

Employee

Options⁽⁴⁾ Employee

Options⁽⁴⁾

Stock

Stock

grant **Remarks:**

> /s/ Adam D. Nelson, Attorney-05/03/2023 in-fact

** Signature of Reporting Person Date

05/01/2033

05/01/2032

Commor

Stock

Common

Stock

3,968

2,187

\$<mark>0</mark>

3,968

2 187

D

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

05/01/2023

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Α

1. Each share is represented by a Restricted Stock Unit ("RSU"). The RSUs will vest on the ratably on each of the first four anniversaries of the grant date. 2. Represents the number of shares forfeited to cover tax withholding obligations in connection with the vesting of restricted stock or restricted stock unit awards.

(A)

3,968

(5)

(5)

3. Consists of (i) 9,764 shares beneficially owned by reporting person, and (ii) 13,244 shares of restricted stock or restricted stock units of the reporting person subject to future vesting requirements.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.